

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590 APR 1 2012

REPLY TO THE ATTENTION OF:

CERTIFIED MAIL 7001 0320 0005 8921 9670 RETURN RECEIPT REQUESTED

Mr. Paul A. Kirkpatrick President Terralpha Industrial, Inc. 2640 Rolling Meadows Drive Naperville, Illinois 60564

Re: Terralpha Industrial, Inc., Chao Xia Zhang-Kirkpatrick, and Paul Kirkpatrick EPA ID No.: ILR 000 159 079
Consent Agreement and Final Order
Docket No. RCRA 05-2011-0008

Dear Mr. Kirkpatrick:

Enclosed, please find a	copy of the signed and fully executed Consent Agreement and Final
Order (CAFO) in resol	ution of the referenced case. We filed the originals with the Regional
Hearing Clerk on AF	R 1 5 2012
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Please ensure that you	and your co-respondents pay the civil penalty of \$11,450.00 in accordance
with paragraph 10 of th	nis CAFO, and reference your check with the number
BD	
	and Docket Number RCRA 05-2011-0008. Also, enclosed is a
Notice of Securities an	d Exchange Commission Registrant's Duty to Disclose Environmental
Legal Proceedings.	
	in.

Thank you again for your cooperation in resolving this matter.

Gary Victorine, Chief

RCRA Branch

Sincerely,

Enclosure

cc: Todd Marvel, IEPA [todd.marvel@illinois.gov] (w/o CAFO)



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590 APR 1 1 2012

REPLY TO THE ATTENTION OF:

CERTIFIED MAIL 7001 0320 0005 8921 9663 RETURN RECEIPT REQUESTED

Ms. Chao Xia Zhang-Kirkpatrick Secretary Terralpha Industrial Inc. 709 Citadel Drive Westmont, Illinois 60559

Re: Terralpha Industrial, Inc., Chao Xia Zhang-Kirkpatrick, and Paul Kirkpatrick EPA ID No.: ILR 000 159 079
Consent Agreement and Final Order
Docket No. RCRA 05-2011-0008

Dear Ms. Zhang-Kirkpatrick:

Enclosed, please find one of two original signed copies of a fully executed Consent Agreement and Final Order (CAFO) in resolution of the referenced case. We filed the originals with the Regional Hearing Clerk on _________.

Please ensure that you and your co-respondents pay the civil penalty of \$11,450.00 in accordance with paragraph 10 of this CAFO, and reference your check with the number BD 275/242/2005 and Docket Number RCRA 05-2011-0008. Also, enclosed is a Notice of Securities and Exchange Commission Registrant's Duty to Disclose Environmental Legal Proceedings.

Thank you again for your cooperation in resolving this matter.

/ / /

Sincerely,

Xictorine, Chief

RCRA Branch

Enclosure

cc: Todd Marvel, IEPA [todd.marvel@illinois.gov] (w/ CAFO)



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 5 77 WEST JACKSON BOULEVARD CHICAGO, IL 60604-3590 APR 1 1 2012

REPLY TO THE ATTENTION OF:

CERTIFIED MAIL 7001 0320 0005 8921 9700 RETURN RECEIPT REQUESTED

Ms. Chao Xia Zhang-Kirkpatrick Registered Agent for Terralpha Industrial Inc. 1274 Rand Road Des Plaines, Illinois 60016

Re: Terralpha Industrial, Inc., Chao Xia Zhang-Kirkpatrick, and Paul Kirkpatrick EPA ID No.: ILR 000 159 079
Consent Agreement and Final Order
Docket No. RCRA 05-2011-0008

Dear Ms. Zhang-Kirkpatrick:

Enclosed, pleas									
Order (CAFO)	in resolution	of the refere	enced case	. We file	ed the ori	iginals w	ith the Re	egional	
Hearing Clerk of	on <u>APR 1</u>	<u> 1 2012</u>	.						
Please ensure the with paragraph								accorda	nce
BD 275424								osed is a	
Notice of Secur									
Legal Proceedi	ings.	-						,	
-									

Thank you again for your cooperation in resolving this matter.

Sincerely.

Gary Xictorine, Chief

RCKA Branch

Enclosure

cc: Todd Marvel, IEPA [todd.marvel@illinois.gov] (w/o CAFO)



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 5 U.S. ENVI

In the Matter of:)	Docket No. RCRA 05-2011-0008
)	
Terralpha Industrial, Inc.,)	
Chao Xia Zhang-Kirkpatrick, and)	
Paul Kirkpatrick)	Proceeding to Assess a Civil Penalty
2640 Rolling Meadows Drive,)	Under Section 3008(a) of the Resource
Naperville, Illinois 60564	·)	Conservation and Recovery Act,
Respondents.)	42 U.S.C. § 6928(a)
•)	•

Consent Agreement and Final Order

Preliminary Statement

- 1. Complainant, the Director of the Land and Chemicals Division, United States Environmental Protection Agency (U.S. EPA), Region 5, brought this administrative action seeking a civil penalty under Section 3008(a) of the Solid Waste Disposal Act, as amended, also known as the Resource Conservation and Recovery Act (RCRA), 42 U.S.C. § 6928(a).
- 2. On April 7, 2011, U.S. EPA filed the Complaint in this action against Respondents Chao Xia Zhang-Kirkpatrick, Paul Kirkpatrick and Terralpha Industrial, Inc. The Complaint alleges that Respondents violated Subtitle C, Section 3008 (a)(3) of RCRA, 42 U.S.C. § 6928(a)(3), at its facility in Naperville, Illinois by failing to comply with the notice and consent requirements for the export of hazardous waste and failing to use a hazardous waste manifest to ship an export of hazardous waste, and further violated Section 3007(a) of RCRA, 42 U.S.C. § 6927(a) by failing to timely respond to a RCRA information request issued to Respondents.
 - 3. Respondents filed an Appearance on May 17, 2011.

Stipulations

- 4. Respondents admit the jurisdictional allegations in the Complaint and neither admit nor deny the factual allegations in the Complaint.
- 5. Respondents waive any right to contest the allegations in the Complaint and their right to appeal this Consent Agreement and Final Order (CAFO).
- 6. Respondents certify that they are complying fully with RCRA, 42 U.S.C. §§ 6901 6992k, and the regulations at 40 C.F.R. §§ 260.1 279.82.
- 7. Respondents consent to the assessment of the civil penalty specified in this CAFO and to the terms of this CAFO.
- 8. The parties agree that settling this action without further litigation, upon the terms of this CAFO, is in the public interest.

Civil Penalty

- 9. In consideration of Respondents' documented inability to pay the proposed civil penalty, Complainant agrees to mitigate the proposed penalty of \$186,195.00 to \$11,450.00.
- 10. Within 30 days after the effective date of this CAFO, Respondents must pay the \$11,450.00 civil penalty for the RCRA violations by sending a cashier's or certified check, payable to the "Treasurer, United States of America," to:

[for checks sent by regular U.S. Postal Service mail]

U.S. EPA
Fines and Penalties
Cincinnati Finance Center
P.O. Box 979077
St. Louis, MO 63197-9000

[for checks sent by express mail]

U.S. Bank Government Lockbox 979077 U.S. EPA Fines and Penalties 1005 Convention Plaza Mail Station SL-MO-C2-GL St. Louis, MO 63101

The check must state: In the Matter of:Terralpha Industrial, Inc., Chao Xia Zhang Kirkpatrick and Paul Kirkpatrick Docket No. RCRA 05-2011-0008 and the billing document number.

11. A transmittal letter stating Respondents' name, complete address, the case docket number and the billing document number must accompany the payment. Respondents must send a copy of the check and transmittal letter to:

Regional Hearing Clerk (E-19J) U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, IL 60604

William Damico (LR-8J) RCRA Branch U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, IL 60604

Luis Oviedo (C-14J) Office of Regional Counsel U.S. EPA, Region 5 77 West Jackson Blvd. Chicago, IL 60604

- 12. This civil penalty is not deductible for federal tax purposes.
- 13. If Respondents do not timely pay the civil penalty, U.S. EPA may bring an action to collect any unpaid portion of the penalty with interest, handling charges, nonpayment penalties, and the United States enforcement expenses for the collection action. The validity, amount, and appropriateness of the civil penalty are not reviewable in a collection action.
- 14. Pursuant to 31 C.F.R. § 901.9, Respondents must pay the following on any amount overdue under this CAFO. Interest will accrue on any overdue amount from the date payment

was due at a rate established by the Secretary of the Treasury pursuant to 31 U.S.C. § 3717(a)(1). Respondents must pay a \$15 handling charge each month that any portion of the penalty is more than 30 days past due. In addition, Respondents must pay a 6 percent per year penalty on any principal amount 90 days past due.

General Provisions

- 15. This CAFO resolves only Respondents' liability for federal civil penalties for the violations alleged in the Complaint.
- 16. This CAFO does not affect the right of U.S. EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violation of law.
- 17. This CAFO does not affect Respondents' responsibility to comply with RCRA and other applicable federal, state, local laws and permits.
- 18. This CAFO is a "final order" for purposes of 40 C.F.R. § 22.31, U.S. EPA's RCRA Civil Penalty Policy, and U.S. EPA's Hazardous Waste Civil Enforcement Response Policy (December 2003).
 - 19. The terms of this CAFO bind Respondents, their successors, and assigns.
- 20. Each person signing this agreement certifies that he or she has the authority to sign for the party whom he or she represents and to bind that party to its terms.
 - 21. Each party agrees to bear its own costs and attorney's fees in this action.
 - 22. This CAFO constitutes the entire agreement between the parties.

Terralpha Industries, Inc. Respondent

3/20/2012	
Date	Chao Xia Zhang Kirkpatrick, for Terralpha Industrial, Inc.
Paul Kirkpatrick, Respondent	
3/20/2012 Date	Paul Kirkpatrick
Date	raui Kiikpauick
Chao Xia Zhang Kirkpatrick, Responde	ent
3/20/20/2	
Date	Chao Xia Zhang Kirkpatrick
United States Environmental Protection	Agency, Complainant
April 6,2012 Date	Margaret M. Guerriero Director Land and Chemicals Division

In the Matter of: Terralpha Industrial, Inc., Chao Xia Zhang-Kirkpatrick and Paul Kirkpatrick Docket No. RCRA 05-2011-0008



REGIONAL HEARING CLERK U.S. ENVIRONMENTAL PROTECTION AGENCY

Final Order

This Consent Agreement and Final Order, as agreed to by the parties, shall become effective immediately upon filing with the Regional Hearing Clerk. This Final Order concludes this proceeding pursuant to 40 C.F.R. §§ 22.18 and 22.31. IT IS SO ORDERED.

4-9-12

Date

Susan Hedman

Regional Administrator

United States Environmental Protection Agency

Region 5

CASE NAME: Terralpha Industrial, Inc., Chao Xia Zhang-Kirkpatrick, and

Kirkpatrick

DOCKET NO: RCRA 05-2011-0008

APR 112012

CERTIFICATE OF SERVICE

REGIONAL HEARING CLERK U.S. ENVIRONMENTAL PROTECTION AGENCY

I hereby certify that today I filed the original of this **Consent Agreement and Final Order** and this **Certificate of Service** in the office of the Regional Hearing Clerk (E-19J), United States Environmental Protection Agency, Region 5, 77 W. Jackson Boulevard, Chicago, Illinois 60604 -3590.

I further certify that I then caused a true and correct copy of the filed document to be mailed on the date below, via Certified Mail, Return Receipt Requested to:

Mr. Paul A. Kirkpatrick, President Terralpha Industrial, Inc. 2640 Rolling Meadows Dr. Naperville, Illinois 60564

Ms. Chao Xia Zhang-Kirkpatrick, Secretary Terralpha Industrial Inc. 709 Citadel Dr. Westmont, Illinois 60559

Ms. Chao Xia Zhang-Kirkpatrick, Registered Agent for Terralpha Industrial Inc. 1274 Rand Rd. Des Plaines, Illinois 60016

Certified Mail Receipt # 's 7001 0320 0005 8921 9670 7001 0320 0005 8921 9663 7001 0320 0005 8921 9700

Dated: 4-11, 2012

Gaye Cucrington Rubben B. ARidge

Administrative Program Assistant

United States Environmental Protection Agency

Region 5

Land and Chemicals Division LR-8J

RCRA Branch

77 W. Jackson Blvd, Chicago, IL 60604-3590